

Highlights of GAO-20-562, a report to congressional requesters

## Why GAO Did This Study

The Coast Guard, within the Department of Homeland Security (DHS), is the principal federal agency responsible for maritime safety and security. The Coast Guard maintains and uses MISLE—a data system that tracks and reports results data for nearly all Coast Guard missions.

GAO was asked to review the status of MISLE and efforts to update it. This report examines (1) the extent to which MISLE supports Coast Guard operations and decision-making: (2) the extent to which the Coast Guard has policies, procedures, and training to ensure reliable MISLE data; and (3) Coast Guard efforts to enhance, upgrade, or replace MISLE since 2008. GAO reviewed Coast Guard plans and performance documents, policies and procedures related to MISLE data entry; select performance data for four missions from fiscal years 2014 through 2018, the most recent data available; and Coast Guard and DHS systems engineering life cycle and acquisition frameworks. GAO also interviewed Coast Guard field and headquarters MISLE users.

#### What GAO Recommends

GAO is making four recommendations, including that the Coast Guard (1) assess and address the causes of data errors and inconsistent data entries, (2) develop a mission needs statement, and (3) identify and analyze alternatives to select solutions to meet mission needs. The Coast Guard concurred with all recommendations and said it plans to replace MISLE.

View GAO-20-562. For more information, contact Nathan Anderson at (206) 287-4804 or andersonn@gao.gov.

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## **COAST GUARD**

# Actions Needed to Ensure Investments in Key Data System Meet Mission and User Needs

### What GAO Found

The U.S. Coast Guard's (Coast Guard) Marine Information for Safety and Law Enforcement (MISLE) system is generally able to support agency operations by tracking and aggregating mission activity data, such as commercial vessel inspection results. But the system has some capability gaps, and MISLE users GAO spoke to described numerous challenges with the system. For example, they reported:

- challenges using MISLE due to duplicate or incomplete records in the system, which can affect the ability of personnel to conduct activities such as vessel inspections. Users told GAO that duplicate or incomplete records can create a risk that Coast Guard personnel may not have full vessel histories when inspecting vessels to resolve prior safety deficiencies; and
- being unable to complete work in MISLE while conducting operational
  activities, since users can only access the system from a workstation
  connected to the Coast Guard network. Field personnel told GAO it can
  require rework when they return to their workstations to enter information into
  MISLE from paper records.

MISLE system managers stated they are aware of these challenges, have begun to address some of them, and agree that MISLE requires further investments to meet user needs.

The Coast Guard has policies, procedures, and training mechanisms to help ensure reliable data; however, GAO's analysis identified multiple data issues, including data errors, incomplete or missing records, and inconsistent data entry. For example, about 20 percent of the fiscal year 2018 records of search and rescue operations showed a negative response time, which Coast Guard officials attributed to data entry errors. The Coast Guard has not assessed the causes of such data errors, and its recent efforts to address certain data issues do not include plans for such an assessment. Such an assessment could help the Coast Guard identify how to ensure more reliable MISLE data.

The Coast Guard has taken steps to update MISLE since its 2001 release; however, it has not followed its own processes to manage subsequent investments. In its most recent upgrade to MISLE, which began in 2008, the Coast Guard did not follow key systems development processes nor deliver some planned functionalities, such as the ability to remediate duplicate vessel records and input data in mobile capacities. The Coast Guard has initiated further efforts to obtain or develop undelivered functionality since the release of the upgraded system in 2015. However, in its fiscal year 2019 operational analysis of MISLE, the Coast Guard identified additional major system deficiencies and user dissatisfaction that it reported require consideration as it pursues system enhancements. Yet, the agency has not taken steps to assess mission needs across the system. By developing an updated mission needs statement for MISLE as a whole, and by identifying and analyzing alternatives to objectively select solutions in accordance with departmental systems engineering guidance, the Coast Guard would be better positioned to address user needs and to more efficiently manage its various investments to improve MISLE.